

**DENNEMEYER & CO LTD**

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Commissioner of Patents and Trademarks  
Box M. FEE  
WASHINGTON DC 20231  
U S A

22 March 2011

**Attn: Ms. Dorethea Allen**

Re: US Patent 5945370 (Serial number 08/973515)  
3rd maintenance fee due 28 February 2011  
Deposit Account 04-0750

Dear Ms Allen

We have now been advised by our client that **LARGE entity status applies** for this case; please see attached copy of the written assertion.

We, therefore, would like to request you to take the additional amount of USD 2055 from our deposit Account no 04-0750. Payment of USD 2055 was originally taken from our account on 25 February 2011.

We kindly ask you to update your records accordingly.

Very yours truly  
Dennemeyer & Co Stockport  
Payor Number: 287



A J Peach

03/24/2011 KBANGURA 00000134 040750 5945370  
01 FC:1599 2055.00 DA



**LETTER OF ASSERTION**

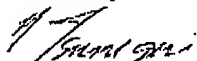
TO WHOM IT MAY CONCERN,

We/I hereby declare that ANAN KASEI CO.,LTD. is a **large entity** according to the USPTO standard.

We/I also declare that Patent no. 5,945,370 has been assigned to ANAN KASEI CO.,LTD which is a large entity according to the USPTO standard.

We/I request that the maintenance fee be paid at the large entity tariff.

Sincerely

  
President  
Yasuharu TSURUGAI

*March 14 2011*

徳島県阿南市大湊町210番51号  
阿南化成株式会社  
代表取締役 徳島康晴

ANAN KASEI CO.,LTD.

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PTO/SB/96 (07-09)

Approved for use through 07/31/2012. OMB 0531-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: ANAN KASEI CO., LTD.Application No./Patent No.: 5,945,370 Filed/Issue Date: April 4th, 1997Title: Composite oxide having oxygen absorption and release capabilities and process for preparing the sameANAN KASEI CO., LTD. , a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in:
2. ☐ an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Hideo YOKOI et al To: SANTOKU METAL INDUSTRY CO., LTD.

The document was recorded in the United States Patent and Trademark Office at  
Reel 8962 Frame 815-816 or for which a copy thereof is attached.

2. From: SANTOKU CORPORATION To: ANAN KASEI CO., LTD.

The document was recorded in the United States Patent and Trademark Office at  
Reel 020234 Frame 0041 or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_ Frame \_\_\_\_\_ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Y. Tsurugai  
Signature

March 14 2011  
Date

Yasuharu TSURUGAI

President

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FELS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.